

Mutations disrupting neuritogenesis genes confer risk for cerebral palsy

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1 Mutations disrupting neuritogenesis genes confer risk for cerebral palsy

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89 In addition to commonly associated environmental factors, genomic factors may 90 cause cerebral palsy (CP). We performed whole exome sequencing in 250 parent-91 offspring trios, and observed enrichment of damaging *de novo* mutations (DNMs) 92 in CP cases. Eight genes had multiple damaging DNMs; of these, two (TUBA1A and CTNNB1) met genome-wide significance. We identified two novel monogenic 93 94 etiologies, FBXO31 and RHOB, and showed the RHOB mutation enhances active-95 state Rho effector binding while the FBXO31 mutation diminishes cyclin D levels. 96 Candidate CP risk genes overlapped with neurodevelopmental disorder genes. 97 Network analyses identified enrichment of Rho GTPase, extracellular matrix, focal 98 adhesion, and cytoskeleton pathways. CP risk genes in enriched pathways were 99 shown to regulate neuromotor function in a Drosophila reverse genetics screen. 100 We estimate that 14% of cases could be attributed to an excess of damaging de 101 novo or recessive variants. These findings provide evidence for genetically 102 mediated dysregulation of early neuronal connectivity in CP. 103

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105 Cerebral palsy (CP) is the cardinal neurodevelopmental disorder impacting motor 106 function, affecting ~2-3 per 1,000 children worldwide^{1,2}. Movement disorder (spasticity, 107 dystonia, choreoathetosis, and/or ataxia) onset occurs within the first few years of life as 108 a manifestation of disrupted brain development³. Historically, although Little and Osler⁴ 109 considered CP to occur largely as a result of perinatal anoxia, Freud disputed this 110 claim⁵. To this day, debate about the origin of CP continues, particularly in individual 111 cases, with widespread medical and legal implications^{6,7}.

112 Analogous to other neurodevelopmental disorders (NDD) such as autism spectrum disorders (ASD) and intellectual disability (ID), no single causative factor has 113 114 been implicated in CP, although several environmental factors, including prematurity, 115 infection, hypoxia-ischemia, and pre- and perinatal stroke, are major contributors to CP risk⁸. However, as many as ~40% of CP cases may not have a readily identifiable 116 etiology⁹, defined as cryptogenic or idiopathic CP¹⁰. Registry-based data has shown that 117 21-40% of CP cases have an associated congenital anomaly, implicating genomic 118 alterations in many of these cases¹¹. A heritability of 40% has been estimated in CP¹², 119 supported by probabilistic modeling of CP etiology in a western Swedish cohort¹³, 120 comparable to the heritability of 38-58% estimated for ASD^{14,15}. 121

To date, five studies have analyzed genomic copy number variations (CNVs) in 122 CP cases^{10,16-19}, identifying predicted deleterious CNVs in 10-31% of cases. Three prior 123 whole exome sequencing (WES) studies have been performed in CP cases²⁰⁻²². The 124 largest study to date reported putatively deleterious variants in ~14% of 98 parent-125 offspring trios with unselected forms of CP²². These studies indicate potentially 126 127 important genetic risks in CP, but insufficient availability of controls limited the statistical 128 inferences that could be made, and functional validation of novel candidate gene 129 variants was not performed. We sought to address these limitations in the current study. 130

131 **RESULTS**

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133 CP cohort characteristics and WES. We performed WES of 250 CP trios, including 91
 134 previously reported²² and 159 ascertained from centers in the United States, China, and
 135 Australia after written informed consent was obtained according to local ethical

136 requirements (Methods). Cases were diagnosed by clinical specialists using international consensus criteria²³ (Supplementary Table 1 and Supplementary Data 137 Set 1); CP was thus defined as a non-progressive developmental disorder of movement 138 139 and/or posture impairing motor function. Cases experienced symptom onset by age two. 140 This operational definition thus excluded progressive neurological disorders such as 141 neurodegenerative diseases. No cases had known chromosomal anomalies or 142 aneuploidies, clinically or molecularly diagnosed syndromes (i.e. Rett syndrome, 143 Angelman syndrome, etc.), pathogenic microdeletion or microduplication syndromes, 144 mitochondrial disorders, or traumatic brain injuries. 145 Detailed patient phenotypes are available in the Supplementary Note. Representative neuroimaging findings are presented in **Extended Data Figure 1**, and 146 147 videos highlighting movement disorder phenotypes in representative individuals can be 148 found in Supplementary Videos (43 videos available via 149 https://figshare.com/s/a4f914ab77958ab3e4b6) and in Supplementary Photos 150 (https://figshare.com/s/0f200402e51de5875390). Within our 250 family cohort, 157 trios 151 (62.8%) were classified as idiopathic (no known cause), 84 cases (33.6%) had a known 152 environmental insult associated with CP (including prematurity defined as < 32 weeks gestation, perinatal hypoxia-ischemia (as defined by treating clinicians), 153 154 ischemic/hemorrhagic stroke, and/or infection), and the remaining 9 trios (3.6%) were not able to be assigned to either category ("unclassified") (Supplementary Table 1). 155 WES was performed as previously described²⁴ (see Supplementary Table 2 for 156 157 exome metrics). Control trios consisting of 1,789 unaffected siblings of autism cases and their unaffected parents from the Simons Simplex Collection were analyzed in 158 parallel²⁵. BWA-MEM was used to align the sequencing reads, and GATK 'Best Practices' was used to call variants^{26,27}. MetaSVM²⁸ and Combined Annotation 159 160 Dependent Depletion (CADD v1.3)²⁹ algorithms were used to predict deleteriousness of 161 missense variants ("D-Mis", defined as MetaSVM-deleterious or CADD ≥ 20). Inferred 162 163 loss of function (LoF) variants consist of stop-gain, stop-loss, frameshift 164 insertions/deletions, canonical splice site, and start-loss. LoF and D-Mis mutations were considered "damaging". De novo mutations (DNMs) were called by the TrioDeNovo 165 program³⁰. Sanger sequencing was conducted to validate mutations in genes of 166 167 interest. 168 169 Damaging DNMs are significantly enriched in the CP cohort. We began by 170 assessing the contribution of DNMs to CP at a cohort level. The number of observed 171 DNMs in cases and controls closely approximates the Poisson distribution (Extended 172 Data Fig. 2), indicating that DNMs are independent probabilistic events. We found an 173 enrichment of damaging DNMs in CP cases, which became more apparent when focusing the analysis on genes intolerant to LoF variation (pLI score \geq 0.9 in gnomAD 174 v2.1.1³¹) (enrichment = 1.78; $P = 1.2 \times 10^{-5}$ for damaging DNMs; **Table 1**). No 175 significant enrichment of any mutation category was found in controls (Table 1). When 176 177 we considered the ascertainment differential (observed number of damaging DNMs vs. 178 expected number of damaging DNMs, divided by the number of trios in the cohort), 179 11.9% of CP cases in our cohort could be attributed to an excess of damaging DNMs. When stratifying cases by CP subtype, we found greater enrichment of damaging DNMs 180

in idiopathic (enrichment = 1.98; $P = 2.1 \times 10^{-5}$) compared to environmental cases

182 (enrichment = 1.28; *P* = 0.19; **Supplementary Table 3**), suggesting that idiopathic 183 cases harbor a higher burden of damaging DNMs.

184 185 **Recurrent damaging DNMs implicate both known and novel CP genes.** We next 186 considered individual genes recurrently implicated in our CP cohort via a de novo 187 mechanism (**Supplementary Data Set 2**). We identified eight genes harboring ≥ 2 damaging DNMs, with TUBA1A ($P = 4.8 \times 10^{-8}$) and CTNNB1 ($P = 9.8 \times 10^{-10}$) 188 189 surpassing Bonferroni correction cutoffs for genome-wide significance (Table 2 and 190 Supplementary Table 4). The gene-level enrichment of protein-damaging DNMs in 191 these genes we observed strongly implicates these genes as bona fide CP-associated 192 genes (Supplementary Table 5). Among these eight genes, ATL1, CTNNB1, SPAST, and *TUBA1A* have previously been associated with human CP phenotypes^{20,22,32}. We 193 194 also identified identical but independently arising damaging DNMs in two genes, RHOB 195 and FBXO31. 196 197 Identical gain-of-function DNMs in RHOB and FBXO31. RHOB, encoding a Rho 198 GTPase, harbored two identical DNMs (p.Ser73Phe; Fig. 1a and Supplementary 199 Table 4) in two unrelated spastic-dystonic CP cases, representing an unlikely chance event ($P = 1.6 \times 10^{-3}$) (**Supplementary Note**). Ser73 is predicted to be phosphorylated 200 (0.997 by NetPhos 3.1)³³ and located in a conserved position in the Switch II domain, 201 where Rho protein kinases associate with Rho- and Rac-related proteins (Fig. 1b). 202 203 Comparing structural models of RHOB wild-type and p.Ser73Phe suggests an alteration 204 of both the shape of the binding site and the surface charge of the protein (**Fig. 1b**). 205 Both patients have a remarkably concordant phenotype, including hyperintense T2 206 white matter signal (periventricular leukomalacia) on MRI, spastic-dystonic diplegia, 207 expressive language disorder, and aortic arch abnormalities (Fig. 1c, Supplementary 208 Table 4, and Supplementary Videos F064 and F244). RHOB is known to control dendritic spine outgrowth³⁴ but has not previously been associated with a human 209 210 disease. Biochemical analyses indicated that this variant shows accentuated responses to both GTPase activating proteins (GAPs) and GDP exchange factors (GEFs) (Fig. 211 212 **1d,e**), ultimately leading to enhanced binding in the active state to the Rho effector 213 rhotekin (Fig. 1f). 214 We also identified two unrelated cases with an identical DNM (p.Asp334Asn; Fig. 215 2a and Supplementary Table 4) in FBXO31, which encodes the F-box only protein 31. 216 An FBXO31/SKP1/Cullin1 complex ubiquitinates targets such as cyclin D to control protein abundance by tagging for proteasomal degradation³⁵. Asp334 is a conserved 217 residue within the binding pocket on FBXO31 (Fig. 2b), where it is thought to mediate 218 hydrogen bonding to cyclin D1³⁶. FBXO31 is known to control axonal outgrowth and is 219 essential for dendrite growth and neuronal migration in the developing brain³⁷. FBXO31 220 p.Asp334Asn affects the cyclin D interaction site³⁶ (**Fig. 2b**), leading to an apparent gain 221

of function of cyclin D degradation (Fig. 2c). A homozygous truncating mutation in 222 223 FBXO31 has previously been reported in association with intellectual disability (OMIM#

615979)³⁸. Both patients in our cohort exhibited spastic diplegic CP (**Supplementary** 224

225 Table 4 and Supplementary Videos F218 and F699), intellectual disability, expressive 226 language disorder, and ADHD. F218 had gut malrotation and constipation, cleft palate,

227 strabismus, and normal brain morphology on MRI, while F699 had strabismus, severe

- 228 constipation, and ventricular dilation with thin corpus callosum on MRI. Therefore, this
- 229 DNM in *FBXO31* leads to a phenotype distinct from the previously described autosomal
- recessive truncating mutation-associated nonsyndromic intellectual disability
 phenotype³⁸.
- 231 pl 232

233 DNMs in previously implicated genes *TUBA1A*, *CTNNB1*, *ATL1*, and *SPAST*.

234 TUBA1A, encoding the microtubule-related protein α -tubulin, harbors three damaging 235 DNMs (p.Arg123Cys, p.Leu152Gln, p.Tyr408Asp; **Supplementary Table 4**) in three unrelated probands, two of whom have been previously reported²². Both p.Arg123Cys 236 237 and p.Leu152GIn map to the tubulin nucleotide binding domain-like, and p.Tyr408Asp maps to the C-terminal stabilization domain³⁹ (Extended Data Fig. 3). TUBA1A 238 239 heterozygous mutations have been described as associated with a spectrum of cortical malformations⁴⁰ (OMIM# 611603), and our patients exhibit MRI findings within this 240 241 spectrum (Extended Data Fig. 3). Clinically, our cases demonstrate spasticity in their 242 lower limbs, and 2/3 exhibit concurrent intellectual disability.

243 *CTNNB1*, encoding β -catenin, harbors three LoF DNMs (p.Glu54*,

p.Phe99PhefsTer5, p.Arg449GlnfsTer24; Supplementary Table 4) in three unrelated
 probands, one of whom was previously reported²¹. p.Glu54* and p.Phe99fs are located
 in the N-terminal domain and predicted to lead to nonsense-mediated decay, while
 p.Arg449fs is located in the central armadillo repeat domain, which is essential for the

- 248 phosphorylation of β-catenin by protein kinase $CK2^{41}$ (**Extended Data Fig. 4**). 249 Autosomal dominant germline inactivating mutations in *CTNNB1* have been implicated
- in exudative vitreoretinopathy 7^{42} (OMIM# 617572) and neurodevelopmental disorder
- with spastic diplegia and visual defects⁴³⁻⁴⁵ (OMIM# 615075). All of our patients
- exhibited spasticity, intellectual disability, behavior problems and language disorders.
 We also found dystonia and microcephaly in 2/3 patients. While one patient had
- possible bilateral frontal pachygyria, brain findings were notably absent from the other
 patients (Extended Data Fig. 4). We found strabismus in 2/3 patients, but no other
- visual defects.

ATL1 encodes atlastin-1, which is critical for formation of the tubular endoplasmic 257 reticulum network and axon elongation in neurons⁴⁶⁻⁴⁸. ATL1 harbors two damaging 258 DNMs in our cohort (p.Ala350Val, p.Lys406Gln; Supplementary Table 4) located in the 259 GBP domain (Extended Data Fig. 5). Autosomal dominant germline mutations have 260 been associated with neuropathy type 1D⁴⁹ (OMIM# 613708) and spastic paraplegia 261 262 type 3A⁵⁰ (OMIM# 182600). Our patients exhibited spasticity and dystonia with brain findings of T2 hyperintensities and bihemispheric periventricular leukomalacia 263 264 (Extended Data Fig. 5). There was no evidence of phenotypic progression at the time 265 of last follow-up (patient ages 10 years and 29 months).

SPAST, encoding spastin, harbored two damaging DNMs (p.Asp441Gly, 266 p.Ala495Pro; Supplementary Table 4). Both mutations occur at conserved positions in 267 the AAA domain, which is essential for the regulation of ATPase activity (Extended 268 269 Data Fig. 6). Autosomal dominant germline mutations in SPAST have been linked to spastic paraplegia 4⁵¹ (OMIM# 182601). p.Asp441Gly has been reported in association 270 with hereditary spastic paraplegia (HSP)^{52,53}. Our patients exhibited spasticity with one 271 also exhibiting dystonia, with scattered subcortical T2 hyperintensities present in one 272 273 patient and no brain findings in the other (Extended Data Fig. 6). There was no

evidence of phenotypic progression (patient ages 21 years and 40 months,

- respectively).
- 276

277 DNMs in DHX32 and ALK. DHX32, encoding putative pre-mRNA-splicing factor ATPdependent RNA helicase DHX32, harbored two damaging DNMs (p.Tyr228Cys, 278 279 p.lle266Met; Supplementary Table 4). p.Tyr228Cys falls within the helicase ATP 280 binding domain, which is required for ATP binding, hydrolysis, and nucleic acid substrate binding⁵⁴ (**Extended Data Fig. 7**). Mutations in *DHX32* have not previously 281 been associated with human diseases. Both of our patients exhibited intellectual 282 283 disability, and one demonstrated spastic diplegia, with the other characterized as a 284 generalized dystonia. Brain findings included periventricular leukomalacia and mildly 285 diminished cerebral volume (Extended Data Fig. 7).

286 ALK, encoding ALK receptor tyrosine kinase, harbored one damaging DNM (p.Ser1081Arg) and one stop-gain DNM (p.Trp1320*) (Supplementary Table 4). 287 p.Trp1320* is located in the tyrosine kinase domain⁵⁵ and p.Ser1081Arg is located just 288 289 upstream in the juxtamembrane domain (Extended Data Fig. 8). Germline and somatic activating mutations in ALK have been previously associated with neuroblastoma^{56,57} 290 291 (OMIM# 613014). One patient exhibited spastic diplegia with mild tremor, scattered 292 subcortical hyperintensities (Extended Data Fig. 8), and an atrial septal defect. The 293 other patient had spastic-dystonic diplegia, white matter abnormalities, and epilepsy. 294 There was no evidence of neuroblastoma in either patient.

295

296 Enriched recessive genotypes in genes associated with hereditary spastic

paraplegia. We performed a one-tailed binomial test coupled with a polynomial model²⁴ to evaluate the burden of recessive genotypes (RGs) for each gene in our CP cohort (**Supplementary Data Set 3**). We did not observe enrichment of damaging RGs in the cohort meeting genome-wide significance (**Supplementary Table 6**). However, we noted biallelic damaging variants in several genes previously associated with HSP. HSP is clinically distinguished from CP by its progressive, neurodegenerative nature and later (often adult) onset in many cases.

304 We carefully re-assessed clinical phenotypes of these cases and found no 305 evidence of progression from the time of ascertainment. Interestingly, early-onset with protracted clinical stability has previously been identified as an endophenotype in a 306 subset of patients with mutations in HSP-associated genes⁵⁸. For example, patients with 307 308 SPAST missense mutations (as our cases did) may have onset in toddlerhood with extended clinical stability⁵⁹ consistent with a CP phenotype. In contrast, truncating 309 SPAST mutations are often translated and accumulate over time, putatively leading to 310 later-onset and a neurodegenerative course⁶⁰. In addition, important roles for SPAST⁶¹ 311 and ATL1⁶² in developmental neuritogenesis have been shown, indicating their 312 313 importance in neuronal development.

We observed six damaging RGs (in *AMPD2*, *AP4M1*, *AP5Z1*, *FARS2*, *NT5C2*, and *SPG11*; **Supplementary Table 7**) among genes previously associated with recessive HSP (**Supplementary Data Set 4**) (enrichment = 7.74; one-tailed binomial *P* = 1.5×10^{-4} ; **Table 3**). By ascertainment differential, ~2.1% of the CP cases in our cohort could thus be accounted for by an excess of RGs. The enrichment of RGs in known HSP-associated genes was predominantly driven by idiopathic cases (idiopathic enrichment = 9.22; one-tailed binomial $P = 2.4 \times 10^{-4}$ vs. environment enrichment = 4.48; one-tailed binomial P = 0.20; **Table 3**).

322

No gene was enriched for rare X-linked hemizygous variants. Male sex is a risk factor for developing CP^{63} . Therefore, we compared rare hemizygous variants (MAF \leq 5.0 x 10⁻⁵) in 154 male CP probands to male controls in gnomAD. No gene surpassed Bonferroni correction cutoff (**Supplementary Table 8**), suggesting that the current study is statistically underpowered to assess hemizygous burden.

328

329 Clinical and genetic overlap of CP with other neurodevelopmental disorders.

- Clinically, NDDs frequently co-occur. In the case of CP, ~45% of individuals with CP
- have concurrent ID^{64} , ~40% also have epilepsy, and ~7% have ASD in addition to CP^{1} .
- Accordingly, we sought to determine the degree of overlap between genes harboring rare damaging variants with *de novo*, X-linked recessive, or autosomal recessive
- sign are damaging variants with *de novo*, X-linked recessive, or autosofial recessive 334 segregation (putative CP risk genes; *n* = 439, **Supplementary Data Sets 6-15**) from our
- 335 CP cohort with known NDD risk genes. The analysis was performed using the Disease
- 336 Gene Network, which identifies associations between genes and diseases curated from
- the literature and databases including ClinVar, ClinGen, and UniProt⁶⁵. We found
- substantial genetic overlap between our CP candidate gene list and the major NDDs (CP vs. ID, enrichment = 2.0, $P = 2.56 \times 10^{-16}$; CP vs. epilepsy, enrichment = 1.7, P =
- 1.6 x 10^{-4} ; CP vs. ASD, enrichment = 2.0, $P = 1.2 \times 10^{-5}$, hypergeometric two-tailed test) (**Fig. 3a**). In contrast, when we examined overlap with a neurodegenerative disorder, Alzheimer's disease, there was no enrichment (**Fig. 3b**). 28.9% of CP risk genes
- overlapped with genes linked to ID, 11.1% for epilepsy, and 6.3% for ASD. Our data
 suggest that CP has significant genetic overlap with other genetic neurodevelopmental
 disorders, indicating potential genetic pleiotropy and common etiologies of co-occurring
 NDDs.
- 346 347

348 Extracellular matrix, cell-matrix focal adhesions, the cytoskeletal network, and Rho GTPase genes are highly associated with CP. We identified a large number of 349 350 individual genes harboring predicted damaging variants and employed a suite of tools for unbiased discovery of conserved pathways and biological functions relevant to CP. 351 STRING-based clustering⁶⁶ of the 439 putative CP risk genes (**Supplementary Data** 352 353 Sets 6-15) showed greater connectivity than predicted by chance (enrichment = 1.2, P = 1.51 x 10⁻⁴) indicating a functional network encompassing damaging variants. We then 354 performed gene over-representation analysis (ORA)^{67,68} of these genes using DAVID⁶⁹, 355 MSigDB⁷⁰ and PANTHER⁷¹ for functional annotation and pathway characterization. This 356 357 approach indicated statistical over-representation of candidate genes stratified by Gene Ontology (GO), pathways (KEGG/Reactome), and curated functional and expression 358 359 data to identify meaningful relationships. Consistent with the STRING findings, this approach identified multiple gene sets representing enriched pathways (false discovery 360 rate (FDR) < 0.05) and conserved functions (Supplementary Data Sets 6-15). 361 We noted functionally related findings supported by multiple tools, including non-362

integrin membrane-extracellular matrix (ECM) interactions and laminin interaction
 pathways identified by all three algorithms. We then inferred hierarchal associations
 between ontological terms using dcGO⁷² (Table 4). Taken together, these findings

indicate an over-representation of genes involved in extracellular matrix biology, cell matrix interactions (focal adhesions), cytoskeletal dynamics and Rho GTPase function.

369 Genes from Rho GTPase, cytoskeleton, and cell projection pathways govern 370 neuromotor development in Drosophila. Subsequently, we independently assessed the role for over-represented pathway members in normal locomotor development by 371 372 conducting a reverse genetic screen in Drosophila. A similar approach has been applied previously in studies of ASD and HSP using Drosophila and zebrafish, respectively^{73,74}. 373 374 We focused on genes with damaging variants from our CP patient cohort with GTPase, 375 cytoskeleton, and cell projection GO terms. We hypothesized that our screen could 376 newly indicate a key role for these genes in neuromotor development.

377 We selected genes with conserved Drosophila orthologs (DIOPT \geq 5) that had 378 available molecularly characterized alleles (complete results and genotypes in 379 Supplementary Table 9). We utilized hypomorphic/LoF alleles in a biallelic state to 380 help map phenotypes to the gene of interest in Drosophila assays. We excluded genes 381 that would cause confounding phenotypes such as lethality or had a previously 382 described locomotor phenotype, except for ATL1, which was included as a positive 383 control. Genes with known roles in brain development or NDDs were prioritized. Two 384 genes with variants that did not meet the filtering criteria for deleteriousness were 385 included as negative controls. Altogether, we screened 22 genes for locomotor ability using turning assays in larvae⁷⁵ and negative geotaxis/positive phototaxis assays in 386 adults^{76,77}. 387

388 We found locomotor phenotypes in mutants of gene orthologs encoding regulators of GTPase signal transduction (AGAP1, DOCK11, RABEP1, SYNGAP1, 389 390 TBC1D17), the cytoskeleton (MKL1, MPP1), and cell projection (PTK2B, SEMA4A, 391 TENM1) pathways (Fig. 4). When assays were conducted in both larvae and adults, we 392 often found locomotor phenotypes at both timepoints, suggesting that defects arose in 393 the developmental period and persisted throughout the lifespan (Supplementary Table 394 9). Of potential interest, we found evidence for sexual dimorphism as male flies with 395 mutations in orthologs of AKT3, RABEP1, or PRICKLE1/2 exhibited locomotor deficits 396 while females did not.

In total, we found 71% (10/14) genes from our enriched pathways exhibited a locomotor phenotype in Drosophila (**Fig. 4** and **Extended Data Fig. 9**). In comparison, genome-wide, only 3.1% of annotated Drosophila genes are known to lead to a locomotor phenotype⁷⁸ (enrichment = 23.4, $P = 2.2 \times 10^{-16}$; **Fig. 4**). Overall, our Drosophila studies supported a role for candidate CP genes in the cytoskeletal, Rho GTPase, and cell projection pathways in motor development.

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405**DISCUSSION**

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In the past, damaging genomic variants have not been considered to be a major
contributor to CP, but our findings and those of others challenge this dogma. Prior
studies suggested that both CNVs and single nucleotide variants contribute to CP^{10,16-22}.
Here we expand upon those earlier findings and provide robust statistical evidence at a
cohort level that rare, damaging single nucleotide variants represent an independent

412 risk factor for CP. The cohort-wide enrichment of DNMs we detected is consistent with the observation that most cases of CP occur sporadically⁷⁹. Using the distribution of 413 LoF-intolerant genes with multiple damaging DNMs in this cohort, we estimated the 414 415 number of genes that contribute to CP through a de novo mechanism to be 75 (95% confidence interval = (26.5, 123.5)) (Extended Data Fig. 10a and Supplementary 416 Note). Saturation analysis estimates that WES of 2,500 and 7,500 CP trios will yield 417 418 65.3% and 91.8% saturation, respectively, for CP risk genes with DNMs, suggesting a 419 high yield for CP gene discovery as additional samples are sequenced (Extended Data 420 Fig. 10b). Accordingly, the International Cerebral Palsy Genomics Consortium (ICPGC; 421 www.icpgc.org) was recently founded to address the need for international data sharing and collaboration to advance the pace of discovery⁸⁰. Conservatively, we estimate that 422 423 14% of the cases in our cohort can be accounted for by damaging genomic variants 424 (based on ascertainment differentials of 11.9% for DNMs and ~2% for RGs). In comparison, recent estimates indicate that acute intrapartum hypoxia-ischemia is seen 425 in ~6% of CP cases⁸¹, indicating that genomic mutations represent an important, 426 427 independent contributor to CP etiology that historically has been overlooked. 428 We found evidence for both known disease-associated genes and genes not 429 previously associated with human phenotypes in our cohort. The identification of 430 independently arising yet identical DNMs in RHOB and FBXO31 indicates that 431 monogenic contributions to CP exist but may be under-recognized. Our parallel 432 identification of genetic correlation of CP with other NDDs implicates shared susceptibility as suggested previously⁸². In some cases, this may reflect ascertainment 433 bias, as motor phenotypes may have been under-reported in prior studies of other 434 435 NDDs. In other cases, typified by FBXO31, our findings likely represent phenotypic 436 expansions. Finally, in some contexts, NDD manifestations may prove pleiotropic, with a genetic disruption of early neurodevelopment manifesting variably as is increasingly 437 being recognized⁸³. Analogous to other NDDs, individual CP cases may prove to be 438 439 environmental in origin, genetic, or some combination thereof. However, somewhat 440 uniquely among the NDDs, environmental contributions to CP are relatively well 441 characterized, and CP may represent a model disorder within which to study gene-442 environment interactions in a developmental context.

Altered motor circuit connectivity is thought to be part of CP pathophysiology⁸⁴. 443 444 By integrating orthogonal lines of evidence, including recurrent gene analyses, in vitro 445 and *in vivo* functional assays, cohort-wide network biology approaches, and Drosophila 446 locomotor studies, we found converging evidence supporting a role for extracellular 447 matrix components, cell-matrix focal adhesions, cytoskeletal organization and Rho GTPases in CP etiology. These processes are known to drive the conserved process of 448 cell projection extensions during nervous system development⁸⁵. Based on known 449 disease and developmental biology, we therefore predict that disruption of genes 450 451 involved in neurodevelopmental patterning may alter early neuritogenesis and neuronal 452 functional network connectivity in CP. Further studies will be needed to determine more 453 specifically how CP patient-derived variants affect neuronal circuit development. 454 Our findings have important clinical implications. Specific genetic findings may 455 provide closure for families and guide preventative healthcare as well as family 456 planning, such as counseling for recurrence risk (often quoted as ~1% for CP but

457 potentially much higher for inherited mutations). In some cases, identification of specific

- 458 variants in individuals in our cohort led to recommendations for changes in
- 459 management, including personalized treatments that would not otherwise have been
- 460 initiated (i.e. ethosuximide for *GNB*⁸⁶ (F068), levodopa for *CTNNB1*⁸⁷ (F066, GRA8913,
- 461 F428), and 5-aminoimidazole-4-carboxamide riboside (AICAr) for *AMPD*⁸⁸ (F623)

462 (Supplementary Note).

In the near future, studies will be able to overcome our limitations of small
sample size and further utilize available clinical data to expand upon genotypephenotype correlations. Additionally, as more information about CP genetic etiology
becomes available, it will become possible to assign likely genetic causation to more
individual cases. Future studies of well-characterized unselected CP cohorts will be
instrumental in determining the true contributions of genetic and environmental factors
side-by-side in order to clarify the epidemiology of CP.

470 Overall, our data indicate that genomic variants should be considered alongside 471 environmental insults when assessing the etiology of an individual's CP. Such 472 considerations will have important clinical, research, and medico-legal implications. In 473 the near future, genomic data may help stratify patients and identify likely responders to 474 currently available medical and/or surgical therapies. Finally, over time, mechanistic insights derived from the identification of core pathways via genomic studies of CP may 475 476 help guide therapeutic development efforts in a field that has not seen a novel therapy introduced for decades. 477 478

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480

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- 504 505

506 AUTHOR CONTRIBUTIONS

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508 K.B., S.P-L., Q.X., C. Zhu, R.P.L., A.H.M., J.G., and M.C.K. contributed to study design, 509 data interpretation, and oversight. B.Y.N., J.G.B., K.H., C. Zhou, D.Z., B.Z, B.K., S.W., 510 J.B., S.P., J.B.V., J.B.-H., A.P., M.C.F., L.X., Y.X., M.C., K.R., F.M., Y.W., J.L.W., L.R., 511 J.S.C., A.F., A.E.L., J.P.P., T.F., S.J.M., K.E.C., S.M.R., D.S.R., Q.S., C.G., Y.A.W., 512 N.B., I.N., S.C.M., X.W., D.J.A., J.H., and M.C.K. provided cohort ascertainment, recruitment, and phenotypic characterization. K.B., C.C., A.E., J.L., C.L.v.E., H.M., 513 514 S.M.M., I.R.T., F.L.-G., Y.A.W., B.S.G., J.Z., D.L.W., M.S.B.F., C. Zhou, and M.A.C. 515 performed exome sequencing production and validation. S.B., S.C.J., M.A.C., M.C.S., 516 X.Z., J.R.K., and A.H.S. performed WES analysis. A.E., H.M., J.L., B.S.G., and S.P.-L. performed RHOB validation. S.M.N., S.P.-L., S.P., J.B.V., D.D., and S.A.L. performed 517 518 FBXO31 validation. S.A.L., S.V., and D.C.Z. performed Drosophila locomotor 519 experiments. S.C.J., S.A.L., S.B., S.S., B.L., Q.L., M.C.S., and X.Z. conducted statistical 520 analysis. S.H. performed biophysical simulation for RHOB and FBXO31. S.C.J., S.A.L., J.G., Q.L., S.P.-L., R.P.L., A.H.M., S.M., B.Y.N., M.C.S., X.Z., C.L.v.E., X.W., Q.X., C. 521 522 Zhu, and M.C.K. wrote and reviewed manuscript. K.B., R.P.L., Q.X., C. Zhu, A.H.M., 523 J.G., S.P.-L., and M.C.K. acquired funding and supervised the project and were 524 considered co-senior authors. All authors have read and approved the final manuscript. 525

COMPETING INTERESTS

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528	None	
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744 FIGURE LEGENDS

745

746 Figure 1 | Functional validation of CP-associated RHOB variant S73F. a. Sanger 747 traces of mother, father, and proband from families F064 and F244 verifies de novo 748 inheritance and position of variant (red arrow). b, Poisson-Boltzman electrostatic map of 749 wild-type RHOB (left) and F73 variant (right) showing changes to the kinase binding site 750 (arrow) and surface charge of the protein. Alignment between human Rho-family 751 proteins shows high conservation of the RhoB 73 residue in the Switch II domain. The 752 site of S73/F73 has been labeled (arrow). c, Brain MRI from F064 demonstrates 753 bilateral periventricular T2/FLAIR hyperintensity (arrows) on axial imaging, while sagittal 754 views reveals equivocal thinning of the isthmus of the corpus callosum (star). MRI from 755 F244 T2 hyperintensity of posterior limb of internal capsule and optic radiations (left) 756 and hyperintensity of periventricular white matter (right). **d**. GTP hydrolysis is enhanced ~1.5-fold in the S73F RHOB variant in a GTPase activating protein (GAP) assay. 757 Absorbance measurements of hydrolyzed GTP in the presence of either low (5 μ g) (P = 758 759 0.003) or high (13 μ g) (P = 5.6 x 10⁻⁵) RhoA GAP. There was no change in endogenous GTPase activity with S73F variant without added GAP added (not shown) (n = 3). e, 760 761 GTP binding is enhanced in the S73F RHOB variant in a guanine exchange factor (GEF) assay. The N-methylantraniloyl-GFP fluorophore increases its fluorescence 762 763 emission when bound to Rho-family GTPases, indicating nucleotide uptake by the GTPase. Both wild-type and S73F have low endogenous GTP binding (lower curves). In 764 765 the presence of the Dbs GEF protein, GTP binding is enhanced, and S73F K_m is 766 significantly reduced compared to wild-type RHOB (n = 5) (mean 243 vs. 547 seconds, 767 P = 0.0017). f, S73F GTP-binding is increased 4-fold in a pull-down assay with 768 Rhotekin, an interactor with active GTP-bound Rho proteins. (Top) Sample western blot 769 cropped to show RHOB from bead-bound fraction and total input detected using 770 antibody against V5 tag. (Bottom) Quantification of ratio of rhotekin-bound/total RHOB 771 (n = 5), P = 0.001. Bars in **f** indicate standard error. RFU, relative fluorescence units 772 (10°) at 360 nm excitation. Statistics determined by two-tailed unpaired *t*-test. ***P* < 773 0.003. Full-length blots are provided as **Source Data Figure 1**. 774 775 Figure 2 | Functional validation of CP-associated FBXO31 variant D334N shows alterations in cyclin D regulation. a, Sanger traces of mother, father, and proband 776 777 from families F218 and F699 verifies *de novo* inheritance and position of variant (red 778 arrow). b. Poisson-Boltzman electrostatic map of wild-type FBXO31 (left) and the 779 D334N variant (right). D334 is positioned around the cyclin D1 (green) binding pocket 780 on FBXO31. The mutation alters the surface electrostatic charge around the cyclin D1 781 binding site with a predicted effect on cyclin D1 binding to FBXO31. The site of 782 D334/D334N has been labeled (arrow). Magnified view of showing alterations to surface 783 charge in cyclin D1 binding site shown below. c. Representative western blot cropped to 784 show decreased cyclin D expression in patient-derived fibroblasts with FBXO31

p.D334N variant. Quantification of Cyclin D is normalized to in-lane β-tubulin and within-

experiment control GMO8398. Both patients had reduced cyclin D compared to pooled controls. Data averaged for three independent cell culture experiments (n = 7 controls. n

= 6 patient measurements). Box indicates 75^{th} and 25^{th} percentile with median line;

whiskers indicate 10^{th} and 90^{th} percentile. ***P* = 0.004 calculated using two-tailed unpaired *t*-test. Full-length blots are provided as **Source Data Figure 2**.

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792 Figure 3 | Genetic overlap between common neurodevelopmental disorders. a,

Venn diagram showing number of overlapping genes between candidate cerebral palsy
 (CP) genes and genes linked to other neurodevelopmental disorders (NDDs) intellectual
 disability (ID), epilepsy, and autism spectrum disorder (ASD). CP risk genes were

identified as having one or more damaging variant across modes of inheritance with
 overlap determined using DisGeNET. b, Overlap between CP and other NDDs was

- significant by hypergeometric two-tailed test, while overlap between CP and Alzheimer's
 disease was not. Total number of genes in DisGeNET = 17,549; total number of genes
 in our gene set = 439.
- 801

802 Figure 4 | Locomotor phenotypes of loss of function mutations in Drosophila

803 **orthologs of candidate cerebral palsy risk genes. a**, Turning time, a measure of

coordinated movements, is increased in larvae with mutations in AGAP1, SEMA4A, and
 TENM1 orthologs. Drosophila mutant and control genotypes are provided in

806 **Supplementary Table 9. b-i**, 14 day-old adult flies have locomotor impairments. **b-e**,

807 Negative geotaxis climbing defects in distance threshold assay for flies with mutations in

orthologs of *DOCK11* (**b**), *RABEP1* (**c**), *PTK2B* (**d**) and *ATL1* (**e**). Some genotypes have

a male-specific locomotor defect (**c**). **f-g**, Increased number of falls for flies with mutations in *SYNGAP1* (**f**) and *TBC1D17* (**g**) orthologs, although % reaching threshold

distance was normal (**Extended Data Fig. 10**). **h-i**, Impairments in the average distance

traveled of flies with mutations in *MKL1* (h) and *ZDHHC15* (i) orthologs. Related GO

terms for genes are shown in bold. For box and whisker plots, box indicates 75th and

25th percentile with median line, and whiskers indicate 10th and 90th percentile.

Locomotor curve represents average of all trials and bars indicate standard error. n = 50

larvae, n = 10-21 trials for falls and distance traveled assays, and n = 10-21 trials for locomotor curves. Difference between larval turning time, distance traveled, and number

817 locomotor curves. Difference between larval turning time, distance traveled, and number 818 of falls determined by unpaired two-tailed *t*-test. Locomotor curves were considered to

be significantly different from each other if P < 0.05 for Kolomogrov-Smirnov test in

addition to a significant difference at one or 1 or more time bins by Mann-Whitney rank

sum two-tailed test. *P < 0.05, **P < 0.005, **P < 0.001, **** $P < 1 \times 10^{-6}$. Exact

genotypes, *n*, and *P* values are provided in **Supplementary Table 9**. **j**, Enrichment of locomotor phenotypes detected in studies of putative CP genes (observed) compared to

genome-wide rates annotated in Flybase.org (expected, 3.1%). *P* value was calculated

825 by Fisher's exact two-tailed test.

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TABLES

Table 1 | Significant enrichment of DNMs in CP cases. A one-tailed Poisson test was used to test the enrichment of DNMs for each functional class. A marginal enrichment of DNMs was observed for loss-of-function (LoF), protein-altering, and damaging DNMs. Strikingly, when we restricted our analysis to LoF-intolerant genes, stronger enrichment was observed for protein-altering and damaging DNMs, suggesting a significant contribution of DNM in this gene set to CP pathogenesis. No enrichment was found in controls. *n*, number of DNMs; rate, number of DNMs divided by the number of individuals in the cohort; enrichment, ratio of observed to expected numbers of mutations; D-Mis, damaging missense mutations as predicted by MetaSVM and CADD algorithms; Protein-altering, missense + LoF; Damaging, D-Mis + LoF.

	Cases, <i>n</i> = 250							Cont	rols, <i>n</i> = [,]	1,789			
	Observed Expecte		ected	Fusielement			Obs	erved	ed Expected		Enrichment	Р	
	n	Rate	n	Rate	Enrichment	Р		n	Rate	n	Rate		
All genes (<i>n</i> = 1	9,347)						All genes (<i>n</i> = 19	9,347)					
Total	298	1.19	276.8	1.11	1.08	0.11	Total	1,834	1.03	1,967.2	1.10	0.93	1.00
Synonymous	68	0.27	78.4	0.31	0.87	0.89	Synonymous	484	0.27	557	0.31	0.87	1.00
T-Mis	63	0.25	61.3	0.25	1.03	0.43	T-Mis	410	0.23	431.6	0.24	0.95	0.86
D-Mis	132	0.53	113.1	0.45	1.17	0.04	D-Mis	790	0.44	808.1	0.45	0.98	0.74
LoF	35	0.14	24.1	0.10	1.46	0.02	LoF	150	0.08	170.4	0.10	0.88	0.95
Protein-altering	230	0.92	198.5	0.79	1.16	0.02	Protein-altering	1,350	0.75	1,410.2	0.79	0.96	0.95
Damaging	167	0.67	137.2	0.55	1.22	7.4 x 10 ⁻³	Damaging	940	0.53	978.5	0.55	0.96	0.89
Loss-of-function	n intole	erant ger	nes (gno	mADv2.	1.1 pLl ≥ 0.9; <i>n</i>	= 3,049)	Loss-of-function	n intolera	int gene	s (gnomA	Dv2.1.	1 pLl ≥ 0.9; <i>n</i> =	3,049)
Total	99	0.40	66.4	0.27	1.49	1.1 x 10 ⁻⁴	Total	456	0.25	473.8	0.26	0.96	0.80
Synonymous	20	0.08	18.7	0.07	1.07	0.41	Synonymous	113	0.06	133.4	0.07	0.85	0.97
T-Mis	13	0.05	10.7	0.04	1.21	0.28	T-Mis	86	0.05	75.5	0.04	1.14	0.13
D-Mis	53	0.21	31.1	0.12	1.70	2.3 x 10 ⁻⁴	D-Mis	222	0.12	222.7	0.12	1.00	0.53
LoF	13	0.05	5.9	0.02	2.19	8.1 x 10 ⁻³	LoF	35	0.02	42.2	0.02	0.83	0.89
Protein-altering	79	0.32	47.7	0.19	1.66	2.1 x 10 ⁻⁵	Protein-altering	343	0.19	340.4	0.19	1.01	0.45
Damaging	66	0.26	37.1	0.15	1.78	1.2 x 10 ⁻⁵	Damaging	257	0.14	264.9	0.15	0.97	0.69

Table 2 | Eight genes with two or more damaging (LoF + D-Mis) DNMs. A one-tailed848Poisson-test was performed for damaging and LoF DNMs for each gene independently.849The Bonferroni correction for genome-wide significance is 1.3×10^{-6} (= 0.05/(19,347850genes x 2 tests)).

850 genes x 2 t

Gene	# LoF	# D-Mis	Poisson <i>P</i> -value	pLl	mis_Z
CTNNB1	3	0	9.8 x 10 ⁻¹⁰	1.00	3.85
TUBA1A	0	3	4.8 x 10 ⁻⁸	0.97	5.58
RHOB	0	2	7.6 x 10 ⁻⁶	0.12	2.51
ATL1	0	2	2.0 x 10 ⁻⁵	0.98	2.63
DHX32	0	2	3.5 x 10 ⁻⁵	0.00	1.26
SPAST	0	2	3.5 x 10⁻⁵	1.00	1.24
FBXO31	0	2	5.1 x 10 ⁻⁵	0.44	2.46
ALK	1	1	2.5 x 10 ⁻⁴	0.00	0.01

Table 3 | Idiopathic CP cases show enrichment of damaging recessive genotypes

(RGs) in HSP-associated genes. One-tailed binomial test coupled with the polynomial

regression model was conducted to evaluate the enrichment of damaging RGs in known

857 HSP-associated genes in cases and in controls, respectively. Stratified analysis by the

diagnosis of CP shows that the enrichment of these damaging RGs was specific to

cryptogenic cases. Multiple-testing *P*-value cutoff was 6.3×10^{-3} (= 0.05/(2 x 4)). 860

		Observ	ved		Expected	Enrichment	Р
Gene set (# genes)	Homozygotes	Compound heterozygous	Unique genes	Recessive genotypes	Recessive genotypes		
250 CP cases							
All genes (19,347)	63	133	187	196	-	-	-
Recessive known HSP genes (52)	3	3	6	6	0.78	7.74	1.5 × 10 ⁻⁴
Known HSP genes (73)	3	3	6	6	0.97	6.20	4.8 x 10 ⁻⁴
157 idiopathic cases							
All genes (19,347)	49	89	136	138	-	-	-
Recessive known HSP genes (52)	3	2	5	5	0.54	9.22	2.4 x 10 ⁻⁴
Known HSP genes (73)	3	2	5	5	0.68	7.37	6.5 x 10 ⁻⁴
84 environmental cases							
All genes (19,347)	14	41	40	55	-	-	-
Recessive known HSP genes (52)	0	1	1	1	0.22	4.48	0.20
Known HSP genes (73)	0	1	1	1	0.28	3.60	0.24
1,789 controls							
All genes (19,347)	81	687	610	768	-	-	-
Recessive known HSP genes (52)	0	3	3	3	2.46	1.22	0.45
Known HSP genes (73)	0	3	3	3	2.94	1.02	0.56
861							

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Table 4 | CP risk gene pathway enrichment. Key pathways and terms overlapping 863 between DAVID, PANTHER, and MSigDB bioinformatics tools. Gene ontology (GO) 864 terms include cell projections, cytoskeleton, and Rho GTPase signaling. GO terms were 865 extracted from the total set (Supplementary Data Sets 6-15) using hierarchical nesting, 866 or functions that were represented by multiple GO terms. Overlap/Set refers to number 867 of genes overlapping between CP risk gene and Database/Number of genes in 868 Database for that term. FDR = q value (false discovery rate cutoff = 0.05) from two-869 tailed Fisher and hypergeometric tests. FDR differences are due to differences in tool 870

871 methodologies.

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Database	Terms	Overlap/Set	Observed	Expected	FDR
DAVID	Non-integrin membrane-ECM interactions (R-HSA-3000171)	10/40	10/218	40/9075	0.00045
	Laminin interactions(R-HSA-3000157)	8/30	8/218	30/9075	0.0075
	ECM-receptor interaction (R-HSA-04512)	12/87	12/168	87/6879	0.00888
PANTHER	Non-integrin membrane-ECM interactions (R-HSA-3000171)	12/59	12/447	59/20851	6.02x10 ⁻⁵
	Laminin interactions (R-HSA-3000157)	8/30	8/447	30/20851	0.00114
	Signaling by Rho GTPases (R-HSA-194315)	24/408	24/447	408/20851	0.00721
	Extracellular matrix organization (R-HSA-1474244)	20/299	20/447	299/20851	0.00826
MSigDB	Non-integrin membrane-ECM interactions (R-HSA-30000171)	12/59	12/439	59/38055	5.53x10 ⁻⁹
	Laminin interactions (R-HSA-30000157)	8/30	8/439	30/38055	4.65x10 ⁻⁷
	Signaling by Rho GTPases (R-HSA-194315)	26/450	26/439	450/38055	2.15x10 ⁻⁸
	Extracellular matrix organization (R-HSA-1474244)	20/301	20/439	301/38055	1.97x10 ⁻⁷
Biological processes	Cell projection and organization	Overlap/Set	Observed	Expected	FDR
	Regulation of cell projection organization (GO:0031344)	47/695	47/447	695/20851	1.35x10 ⁻⁷
	Positive regulation of cell projection organization (GO:0031346)	30/395	30/447	395/20851	1.84x10 ⁻⁵
	Positive regulation of neuron projection development (GO:0010976)	19/294	19/447	294/20851	0.0087
	Microtubule based movement				
	Movement of cell or subcellular component (GO:0006928)	66/1544	66/447	1544/20851	1.22x10 ⁻⁴
	Microtubule-based process (GO:0007017)	32/667	32/447	667/20851	0.00875
	Microtubule-based movement (GO:0007018)	18/271	18/447	271/20851	0.00966
Cell components	Axonal cell projection				
	Plasma membrane bounded cell projection part (GO:0120025)	89/2197	89/447	2197/20851	7.15x10 ⁻⁶
	Axon (GO:0030424)	34/641	34/447	641/20851	0.000882
	Actin-based cell projection (GO:0098858)	15/214	15/447	214/20851	0.00898
	Microtubule associated components				
	Cytoskeleton (GO:0005856)	82/2274	82/447	2274/20851	0.000894
	Microtubule cytoskeleton (GO:0015630)	46/1246	46/447	1246/20851	0.0213
	Microtubule associated complex (GO:0005875)	11/154	11/447	154/20851	0.0302
Molecular functions	GTPase activity				
	Small GTPase binding (GO:0031267)	28/421	28/421	421/20851	7.98x10 ⁻⁵
	Rho GTPase binding (GO:0017048)	19/145	19/447	145/20851	9.63x10 ⁻⁷
	GTPase regulator activity (GO:0030695)	18/307	18/447	307/20851	0.0211
	Actin cytoskeleton regulation				
	Extracellular matrix structural constituent (GO:0005201)	15/165	15/447	165/20851	0.00108
	Actin binding (GO:0003779)	23/443	23/447	443/20851	0.0207

874 **METHODS**

875

876 Case cohorts, enrollment, phenotyping, and exclusion criteria. 159 CP cases (132) 877 idiopathic, 24 environmental, 3 unclassified) and their unaffected parents were recruited 878 via Phoenix Children's Hospital, the University of Adelaide, and Zhengzhou City 879 Children's Hospital. Six of these were recently published as part of a gene panel 880 study⁸⁹. Exclusion criteria and detailed descriptions about these cohorts are provided separately below. Further, 91 previously published²² trios (25 idiopathic, 60 881 environmental, 6 unknown) were included to allow for comparison of idiopathic and 882 883 environmental subtypes of CP.

884

CP classification. CP cases were subdivided into idiopathic, environmental, and
 unclassified groups based upon data available at the time of ascertainment. This
 designation was revised as appropriate as additional data became available. Cases
 were designated "environmental" if any idiopathic exclusion criteria were met.

889

890 **Exclusion criteria for idiopathic status.** Potential participants were excluded from an 891 "idiopathic" designation if any of the following were present: prematurity (estimated

gestational age < 32 weeks), stroke, intraventricular hemorrhage, major brain

893 malformation (i.e. lissencephaly, pachygyria, polymicrogyria, schizencephaly, simplified

gyri, brainstem dysgenesis, cerebellar hypoplasia, etc.), hypoxic-ischemic injury (as

defined by treating physicians), *in utero* infection, hydrocephalus, traumatic brain injury,

respiratory arrest, cardiac arrest, or brain calcifications. The following did not
 automatically indicate environmental status even if parents believed this was the cause

of the child's CP: history of prematurity (but delivery at greater than or equal to 32

weeks gestational age), nuchal cord, difficult delivery, fetal decelerations, urgent C-

section, preterm bleeding, or maternal infection. In equivocal cases, additional data was

sought until a decision regarding group assignment could be made by the

902 corresponding author. Periventricular leukomalacia was not considered universally 903 indicative of environmental status⁹⁰.

904

Movement disorder, pattern of involvement, and functional status. Spasticity,
 dystonia, chorea/athetosis, ballism, hypotonia, and/or ataxia were assessed by the
 treating specialist, who also assigned Gross Motor Functional Classification System
 scores as well as the pattern of involvement.

Phoenix Children's Hospital (PCH; n = 52). Patients with CP according to 909 international consensus criteria²³ were recruited from CP subspecialty clinics (pediatric 910 911 movement disorders neurology, pediatric orthopedics, pediatric neurosurgery, pediatric 912 physiatry) at PCH or the clinics of collaborators at outside institutions using a local 913 ethics-approved protocol or a PCH-approved central IRB protocol (#15-080). Written 914 informed consents were obtained for parents and assent was obtained for children as 915 appropriate for families wishing to participate. Blood, buccal swab and/or saliva samples 916 were collected from the affected child and both parents. DNA was extracted with the 917 support of the PCH Biorepository using a Kingfisher Automated Extraction System[™]. 918 and quality control metrics, including yield, 260/280, and 260/230 ratio were recorded.

919 University of Adelaide Robinson Research Institute (n = 63). Ethics permission was 920 obtained in each state and overall from the Adelaide Women's and Children's Health 921 Network Human Research Ethics Committee South Australia. Families were enrolled 922 from among children attending major children's hospitals in South Australia, New South Wales and Queensland where a diagnosis of CP had been confirmed by a specialist in 923 pediatric rehabilitation according to international consensus criteria²³. Blood for DNA 924 925 from cases was collected under general anaesthesia during procedures such as Botox 926 injections or orthopedic surgery and parental blood collected whenever possible. 927 Lymphoblastoid cell lines (LCLs) were generated for each case at Genetic Repositories 928 Australia.

929 Zhengzhou City Children's Hospital (n = 44). This study was approved after 930 review by the ethics committee of Zhengzhou City Children's Hospital. Parent-offspring 931 trios were recruited from children with CP without apparent cause at Zhengzhou City 932 Children's Hospital. Cases were additionally excluded if intrauterine growth retardation, 933 threatened pre-term birth, premature rupture of membranes, pregnancy-induced 934 hypertension, or multiple births was present. All participants and their guardians 935 provided written informed consent under the auspices of the local ethics board. DNA 936 was extracted from blood samples using standard methods. 937

Control cohorts. Controls consisted of 1,789 previously sequenced families that
 included one child with autism, one unaffected sibling, and the unaffected parents²⁵. For
 use in this study, only the unaffected sibling and parents were analyzed. Controls were
 designated as unaffected by the Simons Simplex Collection (SSC). Permission to
 access the genomic data in the SSC via the National Institute of Mental Health Data
 Repository was obtained. Written informed consent for all participants was provided by
 the Simons Foundation Autism Research Initiative.

945

946 **Exome sequencing.** Most trios were sequenced at the Yale Center for Genome 947 Analysis following an identical protocol (Supplementary Table 2). Briefly, genomic 948 DNA from venous blood, buccal swabs, saliva, or LCL lines (Adelaide) was captured 949 using the Nimblegen SegxCap EZ MedExome Target Enrichment Kit (Roche) or the 950 xGEN Exome Research Panel v1.0 (IDT) followed by Illumina DNA sequencing as previously described²⁴. Trio samples from Zhengzhou were prepared using Exome 951 952 Library Prep kits (Illumina), followed by Illumina sequencing. Eight trios from Adelaide 953 sequenced at the University of Washington were prepared using the SureSelect Human 954 All Exon V5 (Agilent) and underwent Illumina sequencing. One trio sequenced by 955 GeneDx was captured using the Agilent SureSelect Human All Exon V4 while one trio 956 sequenced by the Hôpital Pitié-Salpêtrière used the Roche MedExome capture kit, in 957 both cases followed by Illumina sequencing. Ninety-one previously published trios from 958 Adelaide were captured using the VCRome 2.1 kit (HGSC), followed by Illumina sequencing as described previously²² (Supplementary Data Set 1). Sequencing 959 960 metrics suggest that, regardless of the exome capture reagent used, all samples had sufficient sequencing coverage to make confident variant calls with a mean coverage of 961 \geq 46× at each targeted base and more than 90% of targeted bases with \geq 8 independent 962 963 reads.

965 Mapping and variant calling. WES data were processed using two independent 966 pipelines at the Yale School of Medicine and PCH. At each site, sequence reads were 967 independently mapped to the reference genome (GRCh37) with BWA-MEM and further 968 processed using GATK Best Practice workflows, which include duplication marking, indel realignment, and base quality recalibration, as previously described^{26,27,91}. Single 969 nucleotide variants and small indels were called with GATK HaplotypeCaller and 970 annotated using ANNOVAR⁹², dbSNP (v138), 1000 Genomes (August 2015), NHLBI 971 Exome Variant Server (EVS), and the Exome Aggregation Consortium v3 (ExAC)⁹³. 972 973 MetaSVM and Combined Annotation Dependent Deletion (CADD v1.3) algorithms were 974 used to predict deleteriousness of missense variants ("D-Mis", defined as MetaSVMdeleterious or CADD ≥ 20)^{28,29}. Inferred LoF variants consist of stop-gain, stop-loss, 975 976 frameshift insertions/deletions, canonical splice site, and start-loss. LoF + D-Mis 977 mutations were considered "damaging". Variant calls were reconciled between Yale and 978 PCH prior to downstream statistical analyses. Variants were considered by mode of 979 inheritance, including DNMs, RGs, and X-linked variants. Protein annotations in 980 Extended Data Figures 3-8 were obtained using Geneious Prime 2020.0.5. 981 (https://www.geneious.com). 982 **Variant filtering.** DNMs were called using the TrioDenovo³⁰ program by Yale and PCH 983

separately as described previously²⁴, and filtered using stringent hard cutoffs. These hard filters include: (i) MAF $\leq 4 \times 10^{-4}$ in ExAC; (ii) a minimum 10 total reads total, 5 alternate allele reads, and a minimum 20% alternate allele ratio in the proband if alternate allele reads ≥ 10 or, if alternate allele reads is < 10, a minimum 28% alternate ratio; (iii) a minimum depth of 10 reference reads and alternate allele ratio < 3.5% in parents; and (iv) exonic or canonical splice-site variants.

For the X-linked hemizygous variants, we filtered for rarity (MAF $\leq 5 \times 10^{-5}$ across 990 991 all samples in 1000 Genomes, EVS, and ExAC) and high-guality heterozygotes (pass 992 GATK Variant Score Quality Recalibration (VSQR), minimum 8 total reads, genotype 993 quality (GQ) score \geq 20, mapping quality (MQ) score \geq 40, and minimum 20% alternate 994 allele ratio in the proband if alternate allele reads \geq 10 or, if alternate allele reads is < 10, a minimum 28% alternate ratio)^{93,94}. Additionally, variants located in segmental 995 duplication regions (as annotated by ANNOVAR²⁸), RGs, and DNMs were excluded. 996 997 Finally, in silico visualization was performed on: (i) variants that appear at least twice 998 and (ii) variants in the top 20 significant genes from the analysis.

We filtered RGs for rare (MAF $\leq 10^{-3}$ across all samples in 1000 Genomes, EVS, and ExAC) homozygous and compound heterozygous variants that exhibited high quality sequence reads (pass GATK VSQR) and had a minimum 8 total reads total for proband. Only LoF variants (stop-gain, stop-loss, canonical splice-site, frameshift indels, and start-loss), D-Mis (MetaSVM = D or CADD \geq 20), and non-frameshift indels were considered potentially damaging to protein function.

- 1005
 1006 Estimation of expected number of RGs. We implemented a multivariate regression
 1007 model to quantify the enrichment of damaging RGs in a specific gene or gene set in
 1008 cases, independent of controls. Additional details about the modeling of the distribution
 1009 of RG counts are described in our recent study²⁴.
 - 1010

1011 Statistical analysis. De novo enrichment analysis. The R package 'denovolyzeR' was used for the analysis of DNMs based on a mutation model developed previously⁹⁵. The 1012 probability of observing a DNM in each gene was derived as described previously⁹⁶. 1013 1014 except that the coverage adjustment factor was based on the full set of 250 case trios or 1,789 control trios (separate probability tables for each cohort). The overall enrichment 1015 1016 was calculated by comparing the observed number of DNMs across each functional 1017 class to expected under the null mutation model. The expected number of DNMs was 1018 calculated by taking the sum of each functional class specific probability multiplied by 1019 the number of probands in the study, multiplied by two (diploid genomes). The Poisson 1020 test was then used to test for enrichment of observed DNMs versus expected as implemented in denovolyzeR⁹⁵. For gene set enrichment, the expected probability was 1021 1022 calculated from the probabilities corresponding to the gene set only.

1023 To estimate the number of genes with > 1 DNM, 1 million permutations were 1024 performed to derive the empirical distribution of the number of genes with multiple 1025 DNMs. For each permutation, the number of DNMs observed in each functional class 1026 was randomly distributed across the genome adjusting for gene mutability²⁴. The 1027 empirical *P*-value was calculated as the proportion of times that the number of recurrent 1028 genes from the permutation is greater than or equal to the observed number of 1029 recurrent genes.

1030 To examine whether any individual gene contains more DNMs than expected, 1031 the expected number of DNMs for each functional class was calculated from the 1032 corresponding probability adjusting for cohort size. A one-tailed Poisson test was then 1033 used to compare the observed DNMs for each gene versus expected. As separate tests 1034 were performed for damaging DNMs and LoF DNMs, the Bonferroni multiple-testing 1035 threshold is, therefore, equal to 1.3×10^{-6} (0.05/(19,347 genes x 2 tests)). The most 1036 significant *P*-value of the two tests was reported.

1037 <u>Gene-set enrichment analysis</u>. To test for over representation of damaging RGs 1038 in a gene set without controls and correct for consanguinity, a one-sided binomial test 1039 coupled with the polynomial regression model was conducted by comparing the 1040 observed number of variants to the expected count estimated as described before²⁴. 1041 Assuming that our exome capture reagent captures N genes and the testing gene set 1042 contains M genes, then the *P*-value of finding *k* variants in this gene set out of a total of 1043 *x* variants in the entire exome is given by

$$P = \sum_{i=k}^{x} {x \choose i} (p)^i (1-p)^{n-i}$$

1044

1045 where

$$P = \left(\sum_{gene \ set} Expected \ Value_i \right) / \left(\sum_{all \ genes} Expected \ Value_j \right)$$

1046

Enrichment was calculated as the observed number of genotypes/variants divided bythe expected number of genotypes/variants.

1049 *Gene-based binomial test.* A one-tailed binomial test was used to compare the 1050 observed number of damaging RGs within each gene to the expected number 1051 estimated using the approach detailed above. Enrichment was calculated as the 1052 number of observed damaging RGs divided by the expected number of damaging RGs. 1053 Genetic overlap across neurodevelopmental disorders. We compared the list of 1054 439 putative CP risk genes (Supplementary Data Sets 6-15) with genes identified in other major neurodevelopmental disorders using Disease-Gene Network (DisGeNET, 1055 updated May 2019)⁶⁵. We first extracted all the genes from DisGeNET that were 1056 1057 associated with autism spectrum disorder (ASD, CUI: C1510586, 571 genes), 1058 intellectual disability (ID, CUI: C3714756, 2,502 genes) and Epilepsy (EP, CUI: 1059 C0014544, 1,176 genes). We used the hypergeometric probability to calculate the 1060 overlap significance. The hypergeometric distribution formula is given by: 1061

$$P(X = k) = \frac{\binom{K}{k}\binom{N-K}{n-k}}{\binom{N}{n}}$$

1062

1063 where, K = # genes in DisGeNET associated with the disease,

- 1064 k = # genes in overlapping set with that disease,
- 1065 N = # total genes in DisGeNET,

1066 1067 n = # total genes in the observed set

- 1068 A Venn Diagram representing the gene number appearing in more than one list was 1069 created in R using the 'VennDiagram' package.
- 1070

Pathway analysis. *STRING protein-protein interaction enrichment*. We used the list of
439 genes (Supplementary Data Sets 6-15) to conduct a protein-protein interaction
(PPI) enrichment for gene networks. We used STRINGv11 to further study protein
interaction networks in our set of 439 putative CP risk genes with de novo, X-linked
recessive or autosomal recessive damaging variants. We used 0.70 (high confidence)
cutoff to derive these interactions network as described⁶⁶. The network visualization can
be accessed at:

1078 <u>https://version-11-0.string-db.org/cgi/network.pl?networkId=sKvp4sjmxO4O</u>

1079 Gene set over representation analysis. We used the list of 439 genes 1080 (**Supplementary Data Sets 6-15**) for further downstream gene set over representation 1081 analysis using DAVID v6.8^{69,97} (updated October 2016), PANTHER v15.0⁹⁸ (updated 1082 2020-02-14) and MSigDB v7.0⁹⁹ (updated August 2019). The background gene list for 1083 all three tools was their respective pool of all human genes. To measure statistical over 1084 representation of gene sets in the client set, PANTHER uses a Fisher's exact two-tailed 1085 test, DAVID uses a modified Fisher's test and MSigDB uses the hypergeometric 1086 distribution two-tailed test.

1087 DcGO⁷² algorithm identifies parent and child nesting GO terms to determine 1088 hierarchal relationships. We started from the most specific GO terms (fewest genes) to 1089 identify first-level parents. These terms were used with DcGO to identify terms where 1090 parent, middle, and child terms were all represented on our list with significant FDR. 1091 These nested terms were manually curated for **Table 4**.

RHOB functional assays. *GTPase activating protein (GAP) assay*. (Cytoskeleton) 13
 µg of purified wild-type or S73F RhoB protein (Origene) was incubated with 20 µM GTP
 with or without 5 µg or 13 µg of p50 RhoGAP for 30 min at 37 °C, then incubated with
 CytoPhos reagent for 15 min at room temperature. Hydrolyzed GTP was detected at
 650 nm on a SpectraMax paradigm microplate reader as per the manufacturer's
 instructions. Data from three independent biological replicates.

1099 Guanine exchange factor (GEF) assay. (Cytoskeleton) 2 μ M of purified wild-type 1100 or S73F RhoB protein (Origene) was incubated with or without 2 μ M of the GEF domain 1101 of the human Dbs protein for 30 min at 20 °C. The fluorescence of N-methylantraniloyl 1102 GTP-analogue binding was measured every 30 s at 360 nm with the SpectraMax as per 1103 the manufacturer's instructions. Data from five independent biological replicates.

1104 Rhotekin assay. (Cytoskeleton) 50 µg of agarose beads coated with the Rho-1105 GTP binding domain (residues 7-89) of the human Rhotekin protein were incubated with 1106 500 µg of lysate from yeast expressing human RHOB-V5 or the S73F variant under gentle agitation for 1 h at 4 °C. Beads pelleted by centrifugation at 2,400 xg (5,000 rpm) 1107 1108 for 4 min at 4 °C and washed three times in Wash Buffer (25 mM Tris pH 7.5, 30 mM 1109 MgCl₂, 40 mM NaCl). Beads were resuspended in Laemmli blue 2X and 40 µg of lysate used for western blotting. RhoB was identified with a primary monoclonal anti-V5 1110 1111 antibody (Thermo Fisher) 1:5,000 in BSA and a secondary goat anti-mouse HRP (GE Healthcare) 1:5,000. Data from five independent biological replicates. 1112

1113

1114 FBXO31 cyclin D abundance assay. Three independent, passage-matched control fibroblast lines (GMO8398, GMO2987, GMO8399 from the Corriell Institute) and two 1115 patient primary fibroblasts obtained from each patient via punch biopsies were used. 1116 1117 Total sample n = 7 controls, n = 6 patient measurements. Plates were seeded at 1118 600,000 cells/well and cultured in DMEM supplemented with 1 mM sodium pyruvate, 1 1119 mM glutamine (Gibco) and 10% FBS. Fibroblasts were harvested at confluence with 1120 RIPA buffer (Thermo Fisher) supplemented with protease cocktail (Fischer Scientific) on 1121 ice and centrifuged. Western blotting was conducted using 10 µg protein/lane with antibodies against cyclin D (rabbit polyclonal; ab134175) 1:1.000, ß-tubulin (rabbit 1122 polyclonal, ab6046) 1:5,000 in 5% BSA and detected with anti-rabbit HRP (GE Health 1123 Sciences) 1:5,000. Signal was guantified using Image Studio Lite and the ratio of cyclin 1124 D/β-tubulin was normalized to within-experimental control GMO8398. The difference in 1125 1126 cyclin D abundance was determined using an unpaired *t*-test. 1127 1128 **Drosophila locomotor experiments.** Fly rearing and genetics. Drosophila were reared

on a standard cornmeal, yeast, sucrose food from the BIO5 media facility, University of 1129 1130 Arizona. Stocks for experiments were reared at 25 °C, 60-80% relative humidity with 12:12 light/dark cycle. Cultures for controls and mutants were maintained with the same 1131 growth conditions, with attention to the density of animals within the vial. Descriptions of 1132 1133 alleles used for each CP candidate gene can be found in Supplementary Table 9 and 1134 included 5' insertional hypomorphs, missense mutations, targeted excision, and 1135 deficiency chromosomes. Fly stocks were obtained from the Bloomington Drosophila Stock Center (NIH P40OD018537) and other investigators. We performed crosses of 1136 1137 background markers for genetic controls.

1138 Locomotor assays. We used naïve, unmated flies collected as pharate adults. To 1139 minimize variables, we used no anesthesia, and humidity, temperature, and time of day were controlled (30-60% RH, 21-23.5 °C, 0900-1200). Flies were adapted to room 1140 1141 conditions for 1 h before running in groups of 3-20 in a 250 mL graduated cylinder for 2 min⁷⁶. If <50% crossed the 250 (22.5 cm) mark, flies were re-assayed immediately up to 1142 three iterations. Flies crossing the 250 mL mark (22.5 cm) were manually scored from 1143 1144 coded videos in 10-second bins for 10-21 trials/genotype. The number of falls, defined 1145 as downward movement while detached from the cylinder wall, were manually counted 1146 and normalized to the number of flies in the recording window per 10-second bin for 10-1147 21 trials/genotype. Significant difference of locomotor performance between mutants and controls required P < 0.05 for both Kolmogorov-Smirnov test for whole curve and 1148 1149 Mann-Whitney rank sum test for at least one time bin between 10-30 seconds. Distance 1150 traveled assay was performed using paired, coded vials of control and mutant flies⁷⁷. 1151 Distance measured from still image from video at 3 seconds post-tapping using ImageJ 1152 measure distance function from middle of fly to bottom of vial for 10-11 trials. Larval 1153 turning time was defined as the amount of time required to turn onto ventral surface and 1154 initiate forward movement after rotation onto dorsal surface and measured for 50 larvae/genotype⁷⁵. Significance for vial and larval turning assays were determined using 1155 1156 t-test. Graphs and statistics were performed in R. Drosophila locomotor gene enrichment analysis performed as described previously⁷⁸ using www.MARRVEL.org 1157 and www.flybase.org to identify the Drosophila ortholog and compared to genome-wide 1158 1159 number of genes identified by the terms locomotor/locomotion, flight, taxis (photo- or geo-). Significance of enrichment determined using the Fisher exact two-tailed test. 1160 Assav validation and additional genetics information is provided in the **Supplementary** 1161 1162 Note. 1163 1164 **Reporting summary.** Further information on research design is available in the Nature

- 1165 Research Reporting Summary linked to this article.
- 1166
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1168 DATA AVAILABILITY STATEMENT

1169

Sequencing data from University of Adelaide Robinson Research Institute (*n* = 154
trios) are available from the corresponding author on request, subject to human
research ethics approval and patient consent. Data from Phoenix Children's Hospital (*n*= 52 trios) are available from the corresponding author on request, subject to patient
consent. Data from Zhengzhou City Children's Hospital (*n* = 44 trios) are available in the

- 1175 CNSA of China National GeneBank DataBase repository (https://db.cngb.org/cnsa/).
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